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Sec 605  
7217/65186  
11/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kazunobu Kubota  
Serial No.: 09/920,133  
Filed : August 1, 2001  
For : APPARATUS FOR AND METHOD OF  
PROCESSING AUDIO SIGNAL  
Attention : Customer Service Center  
Initial Patent Examination Division

I hereby certify that this paper is being  
deposited this date with the U.S. Postal  
Service in first class mail addressed to  
Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Jay H. Maioli  
Reg.No. 27,213

Date  
October 16, 2001

October 16, 2001  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

RESPONSE TO NOTIFICATION TO FILE MISSING PARTS  
OF APPLICATION-FILING DATE GRANTED

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Parts of  
Application-Filing Date Granted mailed September 7, 2001.  
Applicants hereby submit a newly executed Declaration that  
identifies the above application by serial number and filing  
date.

The required surcharge is also submitted herewith  
along with a copy of the subject Notice.

Accordingly, it is respectfully submitted that all  
elements of the application have now been filed and an early

and favorable examination on the merits is earnestly  
solicited.

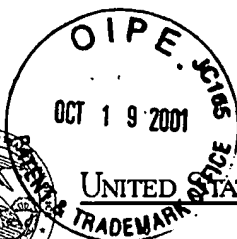
Respectfully submitted,

COOPER & DUNHAM LLP

A handwritten signature in dark ink, appearing to read "Jay H. Maioli". The signature is written in a cursive style with a large, stylized "J" and "M".

Jay H. Maioli  
Reg. No. 27,213

File: 7217/65186  
JHM:ma  
Enc.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,133	08/01/2001	Kazunobu Kubota	7217/65186

CONFIRMATION NO. 5686

## FORMALITIES LETTER



\*OC000000006530776\*

COOPER & DUNHAM LLP  
1185 Avenue of the Americas  
New York, NY 10036

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*KRAXSON*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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